



On The Efficiency of Almost Unbiased Mean Imputation When Population Mean of Auxiliary Variable is Unknown

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Authors' contributions

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Abstract

Human-assisted surveys, such as medical and social science surveys, are frequently plagued by non-response or missing observations. Several authors have devised different imputation algorithms to account for missing observations during analyses. Nonetheless, several of these imputation schemes' estimators are based on known population mean \bar{X} of auxiliary variable. In this paper, a new class of almost unbiased imputation method that uses \bar{x}_n as an estimate of \bar{X} is suggested. Using the Taylor series expansion technique, the MSE of the class of estimators presented was derived up to first order approximation. Conditions were also specified for which the new estimators were more efficient than the other estimators studied in the study. The results of numerical examples through simulations revealed that the suggested class of estimators is more efficient.

Keywords: Estimators; imputation scheme; population mean; study variable.

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1 Introduction

Numerous studies in the field of sampling survey have estimators for estimating population parameters like population mean, population variance, standard deviation etc. under the assumption that complete information about sampling units is available. Some authors like Singh et al, [1], Sahai et al. [2], Srivastava et al. [3], Ahmed et al. [4], Audu et al. [5], Audu et al. [6], Muili et al. [7], Zaman [8], Zaman and Kadilar [9], Zaman [10] and Yadav and Zaman [11] Audu et al. [12], Audu et al. [13] have worked extensively in that direction. Also, authors like Singh and Tailor [14], Sisodia et al. [15], Khoshnevisan et al. [16], Singh et al. [17], Singh and Audu [18], Ahmed et al. [19] and Audu et al. [20] utilized coefficient of variation of auxiliary variable in the estimators' formulation and obtained highly efficient estimators. The estimators in the aforementioned literatures assumed that information on sampling units drawn from the population is completely available. However, this assumption is often violated due to non-response as a result of refusal to answer questions, inaccessibility to respondents, etc. In such situations, responses of non-respondents can be imputed using imputation techniques.

Imputation is the process of replacing missing data with substituted values. When substituting for a data point, it is known as "unit imputation"; when substituting for a component of a data point, it is known as "item imputation" (Singh [21]). There are three main problems that missing data causes. It can introduce a substantial amount of bias, make the handling and analysis of the data more arduous, and create reductions in efficiency (Barnard and Meng [22]). Missing data due to non-response can create problems for analyzing data and imputation is seen as a way to avoid pitfalls involved with likewise of cases that have missing values. That is to say, when one or more values are missing for a case, most statistical packages default to discarding any case that has a missing value, which may introduce bias or affect the representativeness of the results. Imputation preserves all cases by replacing missing data with an estimated value based on other available information. Once all missing values have been imputed, the data set can then be analyze using standard techniques for complete data (Gelman and Jennifer [23]). There have been many theories embraced by scientists to account for missing data but the majority of them introduce bias.

Survey such as in medical and social science etc. conducted by human are often characterized by non-response. Hansen and Hurwitz [24] first discussed the issue of non-response and imputation methods to deal with non-response issues were suggested by several scholars like Singh and Horn [25], Singh and Deo [26], Ahmed et al. [27], Wang and Wang [28], Kadilar and Cingi [29], Toutenburg et al. [30], Singh (2009), Diana and Perri [31], Al-Omari et al. [32], Singh et al. [33], Mishra et al. [34], Singh and Gogoi [35], Singh et al. [36], Prasad [37], Audu et al [38-41], Shahzad et al. [42] and Audu and Singh [43] are some of the most recent imputation methods. However, some of the estimators of the schemes proposed by aforementioned authors are functions of population mean of auxiliary variable (\bar{X}) and if \bar{X} is unknown, the schemes can not be applied to real life situations and are biased. This study, therefore, implored the concepts of bias-filtration and two-phase sampling in which \bar{x}_n is taking as the estimate of \bar{X} to obtain new efficient imputation scheme.

1.1 Notations

The following notations have been used.

Y: Study variable.

X: Auxiliary variable.

\bar{X}, \bar{Y} : The population mean of the variables X and Y respectively.

r: Response units size

n, N: Size of the sample, Population size.

\bar{x}_n : The sample mean of X based on sample of size n.

\bar{x}_r : The sample mean of X response units

\bar{y}_r : The sample mean of Y response units.

$$S_x^2 = (N - 1)^{-1} \sum_{i=1}^N (x_i - \bar{X})^2 \text{ The population mean squares of X.}$$

$S_Y^2 = (N - 1)^{-1} \sum_{i=1}^N (y_i - \bar{Y})^2$: The population mean squares of Y.

$S_{YX} = \rho S_Y S_X$ is the covariance between variables Y and X.

$\psi_{r,N} = r^{-1} - N^{-1}$: Correction factor for response

$\psi_{r,n} = r^{-1} - n^{-1}$: Correction factor for non-response

$C_Y = S_Y / \bar{Y}$: Coefficient of variations Y

$C_X = S_X / \bar{X}$: Coefficient of variations Y

$R = \bar{Y} / \bar{X}$: Ratio Y to X

$$\rho_{YX} = \frac{\sum_{i=1}^N (X_i - \bar{X})(Y_i - \bar{Y})}{\sqrt{\sum_{i=1}^N (X_i - \bar{X})^2 \sum_{i=1}^N (Y_i - \bar{Y})^2}} : \text{Correlation coefficient of Y and X}$$

2 Some Existing Imputation Schemes and their Estimators

Let Φ denotes the set of r units' response and Φ^c denotes the set of $n - r$ units' non-response or missing out of n units sampled without replacement from the N units' population Ω_N .

Under mean method of imputation, values found missing due to non-response are to be replaced by the mean of the rest of observed values (Kalton [44]). The study variable thereafter, takes the form given as,

$$y_i = \begin{cases} y_i & i \in \Phi \\ \bar{y}_r & i \in \Phi^c \end{cases} \tag{2.1}$$

Under the method of imputation, sample mean denoted by $\hat{\mu}_0$ can be derived as

$$\hat{\mu}_0 = r^{-1} \sum_{i \in R} y_r \tag{2.2}$$

The bias and variance of $\hat{\mu}_0$ is given in (2.3) and (2.4)

$$Bias(\hat{\mu}_0) = 0 \tag{2.3}$$

$$Var(\hat{\mu}_0) = \psi_{r,N} S_Y^2 \tag{2.4}$$

where $\psi_{r,N} = r^{-1} - N^{-1}$, $S_Y^2 = (N - 1)^{-1} \sum_{i=1}^N (y_i - \bar{Y})^2$, $\bar{Y} = N^{-1} \sum_{i=1}^N y_i$

Lee et al., [45] proposed ratio imputation method as given (2.5)

$$y_i = \begin{cases} y_i & i \in \Phi \\ \hat{\beta}x_i & i \in \Phi^c \end{cases} \tag{2.5}$$

where $\hat{\beta} = \frac{\sum_{i=1}^r y_i}{\sum_{i=1}^r x_i} = \bar{y}_r / \bar{x}_r$

Under the method of imputation, estimator of population mean denoted by $\hat{\mu}_1$, as in (2.5)

$$\hat{\mu}_1 = \bar{y}_r \bar{x}_n / \bar{x}_r \tag{2.6}$$

The Bias and MSE of $\hat{\mu}_1$ up $O(n^{-1})$ is given as:

$$Bias(\hat{\mu}_1) = \bar{Y}^{-1} \psi_{r,n} (R^2 S_x^2 - \rho_{YX} S_x S_y) \tag{2.7}$$

$$MSE(\hat{\mu}_1) = MSE(\bar{y}_r) + \left(\frac{1}{r} - \frac{1}{n}\right) (S_y^2 + R^2 S_x^2 - 2R\rho_{YX} S_y S_x) \tag{2.8}$$

where $S_{YX} = \rho_{YX} S_y S_x$, $S_x^2 = (N-1)^{-1} \sum_{i=1}^N (x_i - \bar{X})^2$, $\bar{X} = N^{-1} \sum_{i=1}^N x_i$, $\psi_{r,n} = r^{-1} - n^{-1}$, $R = \bar{Y} / \bar{X}$

Singh and Horn [25] utilized information from imputed values for responding and non-responding units as well, thereafter giving study variable the form given by (2.9).

$$y_i = \begin{cases} \lambda \frac{n}{r} y_i + (1-\lambda) \hat{\beta} x_i, & i \in \Phi \\ (1-\lambda) \hat{\beta} x_i, & i \in \Phi^c \end{cases} \tag{2.9}$$

The estimator of population mean denoted by $\hat{\mu}_2$ as well as its bias and MSE are given as

$$\hat{\mu}_2 = \bar{y}_r (\lambda + (1-\lambda) \bar{x}_n \bar{x}_r^{-1}) \tag{2.10}$$

$$Bias(\hat{\mu}_2) = \bar{Y}^{-1} (1-\alpha) \psi_{r,n} (R^2 S_x^2 - \rho_{YX} S_x S_y) \tag{2.11}$$

$$MSE(\hat{\mu}_2) = MSE(\bar{y}_r) - \psi_{r,n} (S_y^2 + R^2 S_x^2 - 2RS_{xy}) - \psi_{r,n} R^2 \alpha^2 S_x^2 \tag{2.12}$$

where $\alpha = 1 - \rho_{YX} \frac{S_y}{RS_x}$

Ahmed et al. [27] proposed imputation scheme for population means estimators which is applicable when the study and auxiliary variables are either positively or negatively correlated, using power transformation.

$$\bar{y}_i = \begin{cases} y_i & i \in \Phi \\ \frac{1}{n-r} \left[n\bar{y}_r \left(\frac{\bar{X}}{\bar{x}_r} \right)^{\beta_1} - r\bar{y}_r \right] & i \in \Phi^c \end{cases} \quad (2.13)$$

Under the (2.13) method, the resultant estimator of the population mean \bar{Y} as well as bias and MSE are given as

$$\hat{\mu}_3 = \bar{y}_r \left(\frac{\bar{X}}{\bar{x}_r} \right)^{\beta_1} \quad (2.14)$$

$$B(\hat{\mu}_3) = \bar{Y}^{-1} \psi_{r,N} \left(\frac{\beta_1(\beta_1+1)R^2S_x^2}{2} - \beta_1R\rho_{YX}S_Y S_X \right) \quad (2.15)$$

where $\beta = \rho_{YX} \frac{S_Y}{RS_x}$

$$MSE(\hat{\mu}_3)_{\min} = \psi_{r,N} (1 - \rho_{YX}^2) S_Y^2 \quad (2.16)$$

Singh et al., [36] proposed Exponential-Type Compromised Imputation scheme to minimize the effect of distance between \bar{X} and \bar{x}_r on the efficiency of Ahmed et al. [27] as

$$y_i = \begin{cases} v \frac{n}{r} y_i (1-v) \bar{y}_r \exp\left(\frac{\bar{X} - \bar{x}_r}{\bar{X} + \bar{x}_r}\right) & \text{if } i \in \Phi \\ (1-v) \bar{y}_r \exp\left(\frac{\bar{X} - \bar{x}_r}{\bar{X} + \bar{x}_r}\right) & \text{if } i \in \Phi^c \end{cases} \quad (2.17)$$

The point estimator of population mean \bar{Y} under the proposed method of imputation is:

$$\hat{\mu}_4 = v\bar{y}_r + (1-v)\bar{y}_r \exp\left(\left(\frac{\bar{X} - \bar{x}_r}{\bar{X} + \bar{x}_r}\right)\right) \quad (2.18)$$

$$Bais(\hat{\mu}_4) = (1-v)\psi_{r,N} \bar{Y}^{-1} \left(\frac{3}{8} R^2 S_x^2 - \frac{1}{2} \rho_{YX} S_x S_y \right) \quad (2.19)$$

$$MSE(\hat{\mu}_4)_{\min} = \psi_{r,N} S_Y^2 (1 - \rho_{YX}^2) \quad (2.20)$$

Where $v = 1 - 2\rho_{YX} S_Y / RS_x$

Prasad [37] proposed ratio exponential imputation scheme given in (2.21) to address the problem of compromised in Singh et al [36] as

$$y_i = \begin{cases} y_i & i \in \Phi \\ \frac{\bar{y}_r}{n-r} \left[n\eta \exp\left(\frac{\bar{X} - \bar{x}_r}{\bar{X} + \bar{x}_r + 2\rho_{yx}/\beta_2(x)}\right) - r \right] & i \in \Phi^c \end{cases} \quad (2.21)$$

Under this method, the resultant estimator of \bar{Y} as well as the bias and MSE are given as

$$\hat{\mu}_5 = \hat{\eta}_0 \exp\left(\left(\bar{X} - \bar{x}_r\right)\left(\bar{X} + \bar{x}_r + 2\rho_{YX} / \beta_2(x)\right)^{-1}\right) \quad (2.22)$$

$$Bais(\hat{\mu}_5) = \left[(\eta - 1)\bar{Y} + \frac{\mathcal{G}}{8}\psi_{r,N}\bar{Y}^{-1}\left(3\mathcal{G}R^2S_X^2 - 4\rho_{yx}S_yS_x\right)\eta \right] \quad (2.23)$$

$$MSE(\hat{\mu}_5)_{\min} = \frac{\bar{Y}^2\left(\psi_{r,N}\left(S_Y^2 + 0.25\mathcal{G}^2R^2S_X^2 - \mathcal{G}RS_{YX}\right)\right)}{\left(\bar{Y}^2 + \psi_{r,N}\left(S_Y^2 + 0.25\mathcal{G}^2R^2S_X^2 - \mathcal{G}RS_{YX}\right)\right)} \quad (2.24)$$

where when $\eta = \bar{Y}^2 / \left(\bar{Y}^2 + \psi_{r,N}\left(S_Y^2 + 0.25\mathcal{G}^2R^2S_X^2 - \mathcal{G}RS_{YX}\right)\right)$, $\mathcal{G} = \beta_2(x)\bar{X} / \left(\beta_2(x)\bar{X} + \rho_{YX}\right)$.

Singh and Gogoi [35] Proposed imputation scheme which is applicable when X and Y are positively or negatively correlated, for population mean estimators using linear combination approach

$$y_i = \begin{cases} \alpha \frac{n}{r} y_i \frac{\bar{X}}{\bar{x}_n} + (1-\alpha) \bar{y}_r \frac{\bar{x}_r}{\bar{X}} & i \in \Phi \\ (1-\alpha) \bar{y}_r \frac{\bar{x}_r}{\bar{X}} & i \in \Phi^c \end{cases} \quad (2.25)$$

The point estimator of population mean \bar{Y} under proposed method of imputation is:

$$\hat{\mu}_6 = \bar{y}_r \left\{ \alpha \frac{\bar{X}}{\bar{x}_n} + (1-\alpha) \frac{\bar{x}_r}{\bar{X}} \right\} \quad (2.26)$$

where α_1 is an unknown parameter to be estimated.

The bias, mean square error and minimum mean square error are given by:

$$Bias(\hat{\mu}_6) = \bar{Y}^{-1}\psi_{r,N} \left\{ \alpha R^2 S_X^2 + (1-2\alpha)\rho_{YX} S_Y S_X \right\} \quad (2.27)$$

$$MSE(\hat{\mu}_6)_{\min} = \left(\psi_{r,N} - \psi_{r,N}\rho_{YX}^2\right) S_Y^2$$

Audu et al. [38] proposed some new imputation schemes as in (2.28). They incorporated filtration parameters θ_i to obtain unbiased class of estimators.

$$y_i = \begin{cases} \theta_1 \frac{n}{r} y_i & i \in \Phi \\ \frac{n}{n-r} \bar{y}_r \left(\theta_2 \left(\frac{\bar{X}}{\bar{x}_r} \right)^{\kappa_1} + \theta_3 \exp \left(\frac{\kappa_2 (\bar{X} - \bar{x}_r)}{\bar{X} + \bar{x}_r} \right) \right) & i \in \Phi^c \end{cases} \quad (2.28)$$

$$\left. \begin{aligned} \theta_3 &= 4 \left(2^{-1} (\kappa_1 + 1) S_X - \rho_{XY} S_Y \right) \rho_{XY} S_Y / \kappa_2 (\kappa_1 - \kappa_2 / 2) R S_X^2 \\ \theta_2 &= - \left(2^{-1} (\kappa_2 + 2) S_X - 2 \rho_{XY} S_Y \right) \rho_{XY} S_Y / \kappa_1 (\kappa_1 - \kappa_2 / 2) R S_X^2 \\ \theta_1 &= 1 + \left(2 \left(4^{-1} \kappa_2 (\kappa_2 + 2) - \kappa_1 (\kappa_1 + 1) \right) S_X - (\kappa_2 - 2 \kappa_1) \rho_{XY} S_Y \right) \rho_{XY} S_Y / \kappa_1 \kappa_2 (\kappa_1 - \kappa_2 / 2) R S_X^2 \end{aligned} \right\} \quad (2.29)$$

where $\kappa_1, \kappa_2 \in (1, -1)$

The point estimator of population mean \bar{Y} under proposed method of imputation as well as bias and MSE are given as:

$$\hat{\mu}_7 = \bar{y}_r \left(\theta_1 + \theta_2 \left(\frac{\bar{X}}{\bar{x}_r} \right)^{\kappa_1} + \theta_3 \exp \left(\frac{\kappa_2 (\bar{X} - \bar{x}_r)}{\bar{X} + \bar{x}_r} \right) \right) \quad (2.30)$$

$$Bias(\hat{\mu}_7) = 0 \quad (2.31)$$

$$MSE(\hat{\mu}_7) = \psi_{r,N} \left(S_Y^2 + \zeta^2 R^2 S_X^2 - 2 \zeta \rho_{XY} R S_X S_Y \right) \quad (2.32)$$

where $\zeta = \theta_2 \kappa_1 + \theta_3 \frac{\kappa_2}{2}$

3 Proposed Imputation Schemes

Having studied the work of Audu et al. [38], the following imputation scheme is proposed.

Let Ω be a set of population with N units, $\Phi \subset \Omega$ with cardinality $|\Phi| = R$ and Φ^c be complement of Φ .

Let \bar{x}_n be an unbiased estimate of \bar{X} , the population mean of X based on the sample of size n , then,

$$y_i = \begin{cases} f_1 \frac{n}{r} y_i & i \in \Phi \\ \frac{n}{n-r} \bar{y}_r \left(f_2 \left(\frac{\bar{x}_n}{\bar{x}_r} \right)^{\tau_1} + f_3 \exp \left(\frac{\tau_2 (\bar{x}_n - \bar{x}_r)}{\bar{x}_n + \bar{x}_r} \right) \right) & i \in \Phi^c \end{cases} \quad (3.1)$$

where $\tau_1, \tau_2 \in (-1, 1)$, $f_i, i = 1, 2, 3$ are filtration parameters, $\sum_{i=1}^3 f_i = 1$.

3.1 Estimation Method/Procedure

The estimator of the proposed scheme is obtained as

$$\hat{t} = \frac{1}{n} \left(\sum_{i \in \Phi} y_i + \sum_{i \in \Phi^c} y_i \right) \tag{3.2}$$

$$\hat{t} = \frac{1}{n} \left(f_1 \frac{n}{r} \sum_{i=1}^r y_i + \sum_{i=1}^{n-r} \bar{y}_r \left(f_2 \left(\frac{\bar{x}_n}{\bar{x}_r} \right)^{\tau_1} + f_3 \exp \left(\tau_2 \left(\frac{\bar{x}_n - \bar{x}_r}{\bar{x}_n + \bar{x}_r} \right) \right) \right) \right) \tag{3.3}$$

The estimator of the proposed scheme is given as;

$$\hat{t} = \bar{y}_r \left(f_1 + f_2 \left(\frac{\bar{x}_n}{\bar{x}_r} \right)^{\tau_1} + f_3 \exp \left(\tau_2 \left(\frac{\bar{x}_n - \bar{x}_r}{\bar{x}_n + \bar{x}_r} \right) \right) \right) \tag{3.4}$$

The Mean Square Errors of (\hat{t}) under Case I is defined as;

$$MSE(\hat{t})_I = \Delta \Sigma \Delta' \tag{3.5}$$

where, $\Delta = \left(\frac{\partial \hat{t}}{\partial \bar{y}_r} \quad \frac{\partial \hat{t}}{\partial \bar{x}_n} \quad \frac{\partial \hat{t}}{\partial \bar{x}_r} \right)$ is a matrix of order 1×3 , Δ' is its transpose and the variance- covariance

matrix is defined as $\Sigma = \begin{pmatrix} \psi_{r,N} S_y^2 & \psi_{n,N} S_{yx} & \psi_{r,N} S_{yx} \\ \psi_{n,N} S_{yx} & \psi_{n,N} S_x^2 & \psi_{n,N} S_x^2 \\ \psi_{r,N} S_{yx} & \psi_{n,N} S_x^2 & \psi_{r,N} S_x^2 \end{pmatrix}$ is a 3×3 non-singular matrix.

On differentiating \hat{t} with respect to \bar{y}_r, \bar{x}_n , and \bar{x}_r , to obtain (3.6), (3.7) and (3.8) respectively;

$$\frac{\partial \hat{t}}{\partial \bar{y}_r} = f_1 + f_2 \left(\frac{\bar{x}_n}{\bar{x}_r} \right)^{\tau_1} + f_3 \exp \left(\tau_2 \left(\frac{\bar{x}_n - \bar{x}_r}{\bar{x}_n + \bar{x}_r} \right) \right) \tag{3.6}$$

$$\frac{\partial \hat{t}}{\partial \bar{x}_n} = \bar{y}_r \left(f_2 \tau_1 \frac{\bar{x}_n^{\tau_1-1}}{\bar{x}_r^{\tau_1}} + f_3 \tau_2 \left(\frac{2\bar{x}_r}{(\bar{x}_n + \bar{x}_r)^2} \right) \exp \left(\tau_2 \left(\frac{\bar{x}_n - \bar{x}_r}{\bar{x}_n + \bar{x}_r} \right) \right) \right) \tag{3.7}$$

$$\frac{\partial \hat{t}}{\partial \bar{x}_r} = \bar{y}_r \left(-f_2 \tau_1 \frac{\bar{x}_n^{\tau_1}}{\bar{x}_r^{-(\tau_1+1)}} - f_3 \tau_2 \left(\frac{2\bar{x}_n}{(\bar{x}_n + \bar{x}_r)^2} \right) \exp \left(\tau_2 \left(\frac{\bar{x}_n - \bar{x}_r}{\bar{x}_n + \bar{x}_r} \right) \right) \right) \tag{3.8}$$

By setting $\bar{x}_n = \bar{X}$, $\bar{x}_r = \bar{X}$, $\bar{y}_r = \bar{Y}$ in (3.6), (3.7) and (3.8), to get (3.9), (3.10) and (3.11) respectively,

$$\frac{\partial \hat{t}}{\partial \bar{y}_r} = f_1 + f_2 \left(\frac{\bar{X}}{\bar{X}} \right) + f_3 \exp \left(\frac{\bar{X} - \bar{X}}{\bar{X} - \bar{X}} \right) = f_1 + f_2 + f_3 = 1 \tag{3.9}$$

$$\frac{\partial \hat{t}}{\partial \bar{x}_n} = \frac{\bar{Y}}{\bar{X}} \left(f_2 \tau_1 + f_3 \frac{\tau_2}{2} \right) \tag{3.10}$$

$$\frac{\partial \hat{t}}{\partial \bar{x}_n} = -\frac{\bar{Y}}{\bar{X}} \left(f_2 \tau_1 + f_3 \frac{\tau_2}{2} \right) \tag{3.11}$$

Let $\theta = f_2 \tau_1 + f_3 \frac{\tau_2}{2}$

Substituting (3.9), (3.10), (3.11) into (3.5) to obtain the MSE of t_1 under case one as

$$MSE(\hat{t}) = (\psi_{r,N} S_y^2 - 2\theta(\psi_{r,N} - \psi_{n,N}) R \rho_{YX} S_y S_x + \theta^2 (\psi_{r,N} - \psi_{n,N}) R^2 S_x^2) \tag{3.12}$$

Differentiating (3.12) with respect to θ , we have,

$$\theta = \frac{\rho_{YX} S_y}{R S_x} \tag{3.13}$$

Substituting (3.13) into (3.12), we obtain the minimum MSE of the estimator \hat{t} under case I as

$$MSE(\hat{t})_{I_{min}} = S_y^2 (\psi_{r,N} - (\psi_{r,N} - \psi_{n,N}) \rho^2) \tag{3.14}$$

To obtain the expressions for f_1, f_2, f_3 the following system of equations are used

$$\left. \begin{aligned} f_1 + f_2 + f_3 &= 1 \\ 0f_1 + \tau_1 f_2 + \frac{\tau_2}{2} f_3 &= \frac{\rho_{YX} S_y}{R S_x} \\ 0f_1 + \gamma_2 f_2 + \gamma_3 f_3 &= 0 \end{aligned} \right\} \tag{3.15}$$

Solving (3.15), we obtained (3.16) as

$$\left. \begin{aligned} f_1 &= 1 - \left[(\gamma_3 - \gamma_2) \rho_{YX} S_y S_x^{-1} R^{-1} \right] / \left[(\tau_1 \gamma_3 - 2^{-1} \tau_2 \gamma_2) \right] \\ f_2 &= \gamma_3 \rho_{YX} S_y S_x^{-1} R^{-1} / (\tau_1 \gamma_3 - 2^{-1} \tau_2 \gamma_2) \\ f_3 &= -\gamma_2 \rho_{YX} S_y S_x^{-1} R^{-1} / (\tau_1 \gamma_3 - 2^{-1} \tau_2 \gamma_2) \end{aligned} \right\} \tag{3.16}$$

where $\gamma_1, \gamma_2,$ and γ_3 are biases of the estimators combined in the proposed schemes define by:

$$\left. \begin{aligned} \gamma_1 &= Bias(\bar{y}_r) = 0 \\ \gamma_2 &= Bias\left(\bar{y}_r \left(\frac{\bar{x}_n}{\bar{x}_r}\right)^{\tau_1}\right) = \frac{R}{\bar{Y}} \left(\psi_{r,N} \left(\frac{\tau_1 + \tau_1^2}{2} RS_x^2 - \tau_1 \rho_{YX} S_y S_x \right) - \psi_{n,N} \left(\tau_1 \rho_{YX} S_y S_x - \tau_1^2 RS_x^2 \right) \right) \\ \gamma_3 &= Bias\left(\bar{y}_r \exp\left(\tau_2 \frac{\bar{x}_n - \bar{x}_r}{\bar{x}_n + \bar{x}_r}\right)\right) = \frac{R}{\bar{Y}} \left(\left(\frac{\tau_2}{4} + \frac{\tau_2^2}{8} \right) \psi_{r,N} \left(RC_x^2 - \rho_{YX} S_y S_x \right) - \frac{\tau_2}{2} \psi_{n,N} \rho_{YX} S_y S_x \right) \end{aligned} \right\} \quad (3.17)$$

The Mean Square Errors of \hat{t} under Case II is derived using as;

$$MSE(\hat{t})_{II} = \Delta \Sigma^* \Delta' \quad (3.18)$$

where, $\Sigma^* = \begin{pmatrix} \psi_{r,N} S_y^2 & 0 & \psi_{r,N} \rho_{YX} S_y S_x \\ 0 & \psi_{n,N} S_x^2 & 0 \\ \psi_{r,N} \rho_{YX} S_y S_x & 0 & \psi_{r,N} S_x^2 \end{pmatrix}$ is a 3×3 non-singular matrix.

Substituting (3.9), (3.10), (3.11) into (3.18) to obtain the MSE of t_1 under case II as

$$MSE(\hat{t})_{II} = \left(\psi_{r,N} S_y^2 - 2\psi_{r,N} \theta R \rho_{YX} S_y S_x + (\psi_{r,N} + \psi_{n,N}) \theta^2 R^2 S_x^2 \right) \quad (3.19)$$

Differentiating (3.19) with respect to θ , we have,

$$\theta = \frac{\psi_{r,N} \rho_{YX} S_y}{(\psi_{n,N} + \psi_{r,N}) RS_x} \quad (3.20)$$

Substitutes (3.20) into (3.19) to obtain minimum mean square error t_1 under case II as;

$$MSE(\hat{t})_{II \min} = S_y^2 \left(\psi_{r,N} - \frac{\psi_{r,N}^2 \rho_{YX}^2}{(\psi_{n,N} + \psi_{r,N})} \right) \quad (3.21)$$

To obtain the expression for f_1, f_2, f_3 the following system of equation are solved

$$\left. \begin{aligned} f_1 + f_2 + f_3 &= 1 \\ 0f_1 + \tau_1 f_2 + \frac{\tau_2}{2} f_3 &= \frac{\psi_{r,N} \rho_{YX} S_y}{(\psi_{n,N} + \psi_{r,N}) RS_x} \\ \mathcal{G}_1 f_1 + \mathcal{G}_2 f_2 + \mathcal{G}_2 f_3 &= 0 \end{aligned} \right\} \quad (3.22)$$

Solving (3.22), we obtained (3.23) as

$$\left. \begin{aligned} f_1 &= 1 - \left[(\mathcal{G}_3 - \mathcal{G}_2) \psi_{r,N} (\psi_{n,N} + \psi_{r,N})^{-1} \rho C_y C_x^{-1} \right] / \left[(\tau_1 \mathcal{G}_3 - 2^{-1} \tau_2 \mathcal{G}_2) \right] \\ f_2 &= \mathcal{G}_3 \lambda (\psi_{n,N} + \psi_{r,N})^{-1} \rho C_y C_x^{-1} / (\tau_1 \mathcal{G}_3 - 2^{-1} \tau_2 \mathcal{G}_2) \\ f_3 &= -\mathcal{G}_2 \psi_{r,N} (\psi_{n,N} + \psi_{r,N})^{-1} \rho C_y C_x^{-1} / (\tau_1 \mathcal{G}_3 - 2^{-1} \tau_2 \mathcal{G}_2) \end{aligned} \right\} \quad (3.23)$$

where $\mathcal{G}_1, \mathcal{G}_2$, and \mathcal{G}_3 are biases of the estimators combined in the proposed schemes define by

$$\left. \begin{aligned} \mathcal{G}_1 &= Bias(\bar{y}_r) = 0 \\ \mathcal{G}_2 &= Bias\left(\bar{y}_r \left(\frac{\bar{x}_n}{\bar{x}_r}\right)^{\tau_1}\right) = \bar{Y}^{-1} R \left(\psi_{r,N} \left(\frac{\tau_1 + \tau_1^2}{2} RS_x^2 - \tau_1 \rho_{YX} S_y S_x \right) - \psi_{n,N} \left(\frac{\tau_1^2 - \tau_1}{2} RS_x^2 \right) \right) \\ \mathcal{G}_3 &= Bias\left(\bar{y}_r \exp\left(\tau_2 \frac{\bar{x}_n - \bar{x}_r}{\bar{x}_n + \bar{x}_r}\right)\right) = \frac{R}{\bar{Y}} \left\{ \psi_{r,N} \left(\left(\frac{\tau_2}{4} + \frac{\tau_2^2}{8} \right) RS_x^2 - \frac{\tau_2}{2} \rho_{YX} S_y S_x \right) + \psi_{n,N} \left(\frac{\tau_2}{4} - \frac{\tau_2^2}{8} \right) RS_x^2 \right\} \end{aligned} \right\} \quad (3.24)$$

3.2 Theoretical efficiency comparison

In this section, efficiency conditions of the proposed estimators over sample mean $\hat{\mu}_0$, Audu et al. [38] $\hat{\mu}_7$ were established.

i. Sample mean Vs Proposed Estimator

$$\begin{aligned} Var(\hat{\mu}_0) - MSE(\hat{t}_I) &> 0 \\ S_y^2 - S_y^2 \left(\psi_{r,N} - (\psi_{r,N} - \psi_{n,N}) \rho_{xy}^2 \right) &> 0 \quad \Rightarrow \quad |\rho_{YX}| > \sqrt{\frac{\psi_{r,N} - 1}{\psi_{r,N} - \psi_{n,N}}} \end{aligned} \quad (3.25)$$

$$\begin{aligned} Var(\hat{\mu}_0) - MSE(\hat{t}_{II}) &> 0 \\ S_y^2 - S_y^2 \left(\psi_{r,N} - \frac{\psi_{r,N} \rho_{xy}^2}{\psi_{r,N} + \psi_{n,N}} \right) &> 0 \quad \Rightarrow \quad |\rho_{YX}| > 0 \end{aligned} \quad (3.26)$$

ii. Audu et al. [36] Vs Proposed Estimators

$$MSE(\hat{\mu}_7) - MSE(\hat{t}_I) > 0 \quad \Rightarrow \quad |\rho_{YX}| > \frac{\zeta RS_x \left(\sqrt{\psi_{r,N} S_y^2 - \bar{Y}^2 (\psi_{r,N} - \psi_{n,N})} + \psi_{r,N} S_y \right)}{\bar{Y} S_y (\psi_{r,N} - \psi_{n,N})} \quad (3.27)$$

$$MSE(\hat{\mu}_7) - MSE(\hat{t}_{II}) > 0 \quad \Rightarrow \quad |\rho_{YX}| > \frac{\zeta^2 RS_x \sqrt{\frac{\psi_{r,N}}{\psi_{r,N} + \psi_{n,N}}}}{S_y} \quad (3.28)$$

4 Empirical Study for Efficiency Comparison

In this section, simulation study was conducted to examine the superiority of the proposed estimators over other estimators considered in the study. Data of size 10000 units were generated for study population using function defined in Table 1 below. Samples of sizes 500 units from which 60 units were selected as respondents were randomly chosen 10,000 times by method Simple Random Sampling without Replacement (SRSWOR) The efficiency (MSEs) and efficiency gained (PREs) of the considered estimators were computed using (4.1) and (4.2) respectively.

$$MSE(\hat{\theta}_d) = \frac{1}{10000} \sum_{d=1}^{10000} (\hat{\theta}_d - \bar{Y})^2 \tag{4.1}$$

$$PRE(\theta_i) = \left(\frac{MSE(\hat{\mu}_0)}{MSE(\theta)} \right) \times 100 \tag{4.2}$$

Table 1. Simulation data used for empirical study

Population	Study Variable y	Auxiliary variable X
I	$Y = 3 + 0.4X + \varepsilon$	$X \sim unif(0.5, 3)$
II		$X \sim Norm(5, 0.3)$

Where $\varepsilon \sim N(0,1)$

Table 2. MSE and PRE of Proposed and Other Estimators using Population I

Estimators	MSE	PRE	Estimators	MSE	PRE
Mean ($\hat{\mu}_0$)	89.189	100.00	Singh and Gogoi [35] ($\hat{\mu}_6$)	72.200	123.53
Lee et al., [45] ($\hat{\mu}_1$)	50.501	176.61	Audu et al. [38]		
Singh and Horn [25] ($\hat{\mu}_2$)	44.883	198.71	($\hat{\mu}_{71}$)	62.457	142.80
Ahmed et al. [27] ($\hat{\mu}_3$)	78.210	114.04	($\hat{\mu}_{72}$)	44.460	200.60
Singh et al. [36] ($\hat{\mu}_4$)	82.923	107.56	($\hat{\mu}_{73}$)	47.499	187.77
Prasad [37] ($\hat{\mu}_5$)	77.445	115.17	($\hat{\mu}_{74}$)	56.111	158.95
Proposed estimators					
Case I	MSE	PRE	Case II	MSE	PRE
(\hat{t}_{11}) _I	15.70613	567.861	(\hat{t}_{11}) _{II}	25.116	355.11
(\hat{t}_{12}) _I	42.2845	210.926	(\hat{t}_{12}) _{II}	21.334	418.07
(\hat{t}_{13}) _I	19.65429	453.789	(\hat{t}_{13}) _{II}	21.334	418.07
(\hat{t}_{14}) _I	26.23558	339.954	(\hat{t}_{14}) _{II}	25.252	353.20

Tables 2 and 3 show the results of MSEs and PREs of the proposed and related existing estimators considered in this study using models I and II respectively from the simulation studies in Table 1. The result revealed that proposed class of estimators have minimum MSEs and higher PREs compared to that of conventional and other related estimators considered in the study. These results imply that using sample mean \bar{x}_n as estimate of

population mean \bar{X} and bias-filtration technique has enhanced the performance of imputation scheme and make it more efficient and less cost in estimation of missing values than other related estimators considered in this study.

Table 3. MSE and PRE of proposed and other estimators using Population II

Estimators	MSE	PRE	Estimators	MSE	PRE
Mean ($\hat{\mu}_0$)	107.824	100	Singh and Gogoi [35] ($\hat{\mu}_6$)	52.577	205.08
Lee et al., [45] ($\hat{\mu}_1$)	60.74127	177.513	Audu et al. [38]		
Singh and Horn [25] ($\hat{\mu}_2$)	16.876	638.919	($\hat{\mu}_{71}$)	32.602	330.73
Ahmed et al [27] ($\hat{\mu}_3$)	94.32676	114.309	($\hat{\mu}_{72}$)	87.618	123.06
Singh et al [36] ($\hat{\mu}_4$)	38.603	279.315	($\hat{\mu}_{73}$)	76.777	140.44
Prasad [37] ($\hat{\mu}_5$)	94.30049	114.341	($\hat{\mu}_{74}$)	89.893	119.95
Proposed estimators					
Case I	MSE	PRE	Case II	MSE	PRE
(\hat{t}_{11}) _I	15.65151	688.905	(\hat{t}_{11}) _{II}	31.815	338.91
(\hat{t}_{12}) _I	41.60992	259.131	(\hat{t}_{12}) _{II}	28.816	374.18
(\hat{t}_{13}) _I	19.59101	550.375	(\hat{t}_{13}) _{II}	28.816	374.18
(\hat{t}_{14}) _I	35.96499	299.803	(\hat{t}_{14}) _{II}	31.854	338.50

5 Conclusion

From the results obtained from the empirical study on the efficiency of the proposed scheme’s estimators over some existing related schemes’ estimators considered in the study, it was obtained that the estimators of the proposed scheme have minimum MSE compared to other estimators considered in all the numerical computations carried out in the study, hence, the proposed estimators demonstrated high level of efficiency over other estimator considered in this study. The results revealed that the proposed scheme which utilized sample mean \bar{x}_n based on sample size $n < N$ instead of population mean \bar{X} which required N population units, provides more efficient estimators that minimize resources for collecting information in mail survey characterized with non-response.

Competing Interests

Authors have declared that no competing interests exist.

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